



IPW 8

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/805,063
	Filing Date	March 19, 2004
	First Named Inventor	HENSON
	Group Art Unit	3624
	Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission	Attorney Docket Number	0516625.0101

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Combined Declaration and Power of Attorney <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Declaration of Mailing by Express Mail <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Receipt Postcard IDS References
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Stephen C. Glazier (Registration No. 31,361) Kirkpatrick & Lockhart LLP
Signature	
Date	22 Sept 05

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: 9-22-05			
Typed or printed name	Sadie Bone		
Signature		Date	9-22-05

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: HENSON et al.

Group Art Unit: 3624

Application No.: 10/805,063

Examiner: Not yet assigned

Filed: March 19, 2004

Atty. Dkt. No. 0516625.0101

Title: **REVENUE SHARE INTEREST METHOD OF FINANCING AN ASSET
MANAGEMENT FIRM**

* * * * *

INFORMATION DISCLOSURE STATEMENT

The Honorable Commissioner of Patents and Trademarks
P.O. Box 1450
Alexandria, VA 22313

Sir:

Attached is Form PTO-1449 listing the enclosed documents. Citation of a document does not necessarily constitute an admission that the document is prior art to the present application.

This Information Disclosure Statement is intended to be in full compliance with the rules, but should the Examiner find any part of its required content to have been omitted, prompt notice to that effect is earnestly solicited, along with additional time under Rule 97(f), to enable applicants to comply fully.

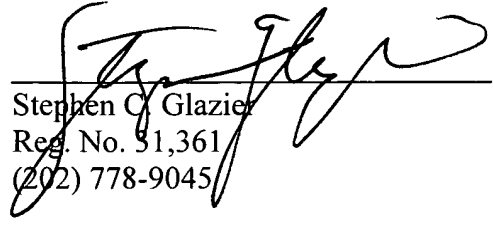
Should a first action on the merits have been issued on the same day or before this IDS is filed, please accept this IDS under Rule 97(c) and charge the requisite Rule 17(p) fee to our Deposit Account No. 50-1721, under the above Atty. Dkt. No., and proceed to consider this IDS.

09/27/2005 AKELECH1 00000041 501721 10805063

01 FC:1806 180.00 DA

Consideration of the foregoing and the return of a copy of the enclosed Form PTO-1449 with the Examiner's initials in the left column per MPEP §609, along with an early action on the merits of this application are earnestly solicited.

Respectfully submitted,



Stephen C. Glazier
Reg. No. 51,361
(202) 778-9045

22 Sept 05
Date: _____

Kirkpatrick & Lockhart Nicholson Graham LLP
1800 Massachusetts Ave., N.W.
2nd Floor
Washington, DC 20036
(202) 778-9000
Fax: (202) 778-9100



PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known			
		Application Number	10/805,063		
		Filing Date	March 19, 2004		
		First Named Inventor	HENSON		
		Art Unit	3624		
		Examiner Name	Not yet assigned		
Sheet	1	of	1	Attorney Docket Number	0516625.0101

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		2001/0039500	11-2001	Johnson	
		2002/0128951	9-2002	Kiron et al.	
		6,188,993	2-2001	Eng et al.	
		5,946,667	8-1999	Tull, Jr. et al.	
		5,940,809	8-1999	Musmanno et al.	
		4,997,188	3-1991	Nilssen	
		5,806,047	9-1998	Hackel et al.	
		4,739,478	4-1988	Roberts et al.	
		4,750,121	6-1988	Halley et al.	
		5,774,880	6-1998	Ginsberg	
		6,253,191	6-2001	Hoffman	

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.